

**EXETER CITY COUNCIL**  
**SCRUTINY COMMITTEE - RESOURCES**  
**23 NOVEMBER 2011**  
**RISK MANAGEMENT**

**1. PURPOSE OF THE REPORT**

- 1.1 To advise the Committee of the Council's updated corporate risk register.

**2. BACKGROUND**

- 2.1 The Risk Management Policy makes this Committee responsible for:
- considering the corporate risk register (CRR) and recommending its approval to the Executive
  - monitoring the effectiveness of risk management throughout the Council and the progress made against the CRR target for actions dates.

**3. RISK REGISTER**

- 3.1 The Risk Management Group and relevant officers have reviewed the risks and, based upon actions implemented and actual experience, have reassessed the Impact and Likelihood scores. This has resulted in the following risk changes (please see Appendix 1 for details of the increased and new risks):

**1. Political**

- **Organisational change** – New – Medium risk due to the major restructuring

**5. Legislative/regulatory & legal**

- **Taxi restrictions** – decreased from Medium to Low risk as the policy is regularly reviewed and surveys have not identified any unmet demands

**6. Environmental**

- **Flooding** – increased from Medium to High risk as climate change assessments suggest there will be an increase in the likelihood of such events in the future

**7. Managerial/Professional**

- **Industrial action** – New - Medium risk due to the planned actions in response to the changes to the local government pensions scheme

**8. Financial**

- **Replacement of council tax benefit** – New - High risk due to the short timescale for introduction and lack of funding information

**8. Financial**

- **RAMM levels of environmental control** – decreased from High to Medium risk as the further works undertaken and daily monitoring have reduced the likelihood that the levels of operation will not meet Government requirements

**8. Financial**

- **Planning appeals** – increased from Low to Medium risk due to the impact of a significant award against the Council

## 8. Financial

- **Localisation of business rates** – New – Medium risk due to the uncertainty of future funding

## 8. Financial

**Capital programme** – reduced from Medium to Low risk because the measures in place have reduced the likelihood of significant underspending of the programme

## 10. Partnership/contractual

- **Connaught in administration** – decreased from Medium to Low risk as a new contract for fitting kitchens and bathrooms in the Housing stock is now in place

## 10. Partnership/contractual

- **Archaeological Field Unit** – decreased from High to Low as the staged closure has been agreed and the backlog of work is being addressed

3.2 Risk management reports have been made to the November meetings of the Community and the Economy Scrutiny Committees of the risks relating to their areas

3.3 The compilation of the Corporate Risks Register has a timescale of nearly two months. The risk register was therefore circulated to all heads of service two weeks before this committee meeting and they were asked to check that their entries were correct in order to ensure that members have the latest information available to them.

## 4. RECOMMENDATIONS

That the Scrutiny Committee - Resources:

4.1 reviews the updated Corporate Risk Register

4.2 undertakes ongoing monitoring to ensure that the risk register remains current and that proposed mitigating actions are achieved by the agreed target dates.

HEAD OF AUDIT

CORPORATE SERVICES DIRECTORATE

**Local Government (Access to Information) Act 1985 (as amended)**

**Background papers used in compiling the report:**

None