

**EXETER CITY COUNCIL
AUDIT AND GOVERNANCE COMMITTEE**

PROGRESS OF 2017/18 AUDIT PLAN AS AT 31/03/18

Audit Area	Budget Days	Actual Days	Report Status	Direction of travel since last audit	Assurance Rating	Number of findings		
						High	Med	Low

CX & GD

'Deliver good development'
'Help me run a successful business'
'Make Exeter a stronger city'

Planning	10	15	In progress					
Building Control	6	7.5	Final report	No previous rating	Good	0	4	0
New Homes Bonus	10	5.7	Final report	↓	Good	0	2	0
CIL/Section 106	10	16.7	Final report	No previous rating	Some improvement required	0	7	2
Land Charges	6	6.8	Final report	No previous rating	Good	0	3	3

DCX

'Make Exeter a stronger city'
'Make Exeter an Analytical City'
'Make Exeter energy independent'
'Make Exeter congestion free'

Support Services

'A well run Council'

Main Accounting	5	7.7	Final report	↔	Good	0	1	3
Income Management	12	10.8	Final report	↔	Some improvement required	2	5	6
Procurement	15	9.6	Self-assessment carried out at request of CFO – no report due					
Creditors	28	21.1	Final report	↔	Some Improvement Required	0	4	1
VAT	10	15.7	Final report	No previous rating <i>(previously part of creditors audit)</i>	Some Improvement Required	3	3	5
People Management	20	10	Interim report issued					
STRATA – Cyber Security	12	9.2	Final report	No rating given as for information only				

Business Continuity	10	3.4	Interim report issued					
Risk management	10	10.9	Final report	↑	Some improvement required	5	5	1
Contract Management	25	52.1	Draft report	With CFO awaiting appointment of Procurement Team				
National Fraud Initiative	5	6.7	No report due					
Counter Fraud	10	4.6	Draft report	Draft report with SMB for discussion to be reported to next meeting				
Information Governance	5	4.3	No report due	Time used to assist in implementation of GDPR				
Equalities and Diversity	5	0.8	Carried fwd to 2018/19					
Partnerships	5	5.1	Final report	No previous rating	Good	0	3	1
Hospitality and gift disclosures	2	1.2	Final report	There were not issues to report				
External Audit	2	1.0	No report due					
External Funding and Grants	15	24.9	Final report	No previous rating	Significant improvement required	2	9	3

Director – Bindu Arjoon

'Help me with my housing and financial problems'

'Fix and maintain our Housing Assets'

Housing Benefit Subsidy	20	22	Final report	↔	Good	0	5	0
Council Tax	15	11.9	Final report	↓	Some improvement required	0	7	0
NDR	8	9.0	Final report	↔	Some improvement required	0	6	4
Payment & collection	13	23.0	Final report	↑	Good	0	5	4
Housing – Development, Asset Management & Customer	30	24.6	Final report	No previous rating	Significant Improvement Required	5	5	0
– Disabled Adaptations			Final report	No previous rating	Some Improvement Required	2	8	1

Director – David Bartram

'Keep Exeter looking good'

'Fix and maintain our Assets'

Trade Waste	8	9.2	Final report	No previous rating	Good	0	6	1
Fleet management	6	4.5	Final report	No previous rating	Good	0	0	2

Car Parks	8	8.8	Final report	↔	Good	0	1	5
Bereavement Services	6	6.1	Final report	↔	Good	0	1	2
Allotments	6	6.6	Final report	↔	Good	0	0	3

Director – Jo Yelland

'Help me live well'
'Help me be active'

Disabled Facilities Grants	8	9.0	Final report	↔	Good	0	1	4
Health & Safety	12	9	Final report	No previous rating	Some improvement required	0	8	2

Director – Jon-Paul Hedge

'Provide great things for me to see and do'
'Keep me informed'

Markets and Halls	8	8.4	Final report	↔	Good	0	5	0
-------------------	---	-----	--------------	---	------	---	---	---

Other

RIPA Administration	2	0.6
Contingency (special/frauds) including unplanned work	30	42.3
Follow-ups	8	2.8
Audit Development – Data Analysis/CAAT development	20	6.7
Audit planning and control	40	36.5
Total	486	491.8