

AUDIT AND GOVERNANCE COMMITTEE

Date: Wednesday 5 December 2018
Time: 5.30 pm
Venue: Rennes Room, Civic Centre, Paris Street, Exeter

Members are invited to attend the above meeting to consider the items of business.

If you have an enquiry regarding any items on this agenda, please contact Mark Devin, Democratic Services Officer on 01392 265477.

Entry to the Civic Centre can be gained through the Customer Services Centre, Paris Street.

Membership -

Councillors Vizard N (Chair), Wood (Deputy Chair), Begley, Foggin, Mrs Henson, Lamb, Musgrave, Pattison, Sheldon, Thompson and Warwick

Agenda

Part I: Items suggested for discussion with the press and public present

1 **Apologies**

To receive apologies for absence from Committee Members.

2 **Minutes**

To approve and sign the minutes of the meeting held on 19 September 2018.

3 **Declaration of Interests**

Councillors are reminded of the need to declare any disclosable pecuniary interests that relate to business on the agenda and which have not already been included in the register of interests, before any discussion takes place on the item. Unless the interest is sensitive, you must also disclose the nature of the interest. In accordance with the Council's Code of Conduct, you must then leave the room and must not participate in any further discussion on the item. Councillors requiring clarification should seek the advice of the Monitoring Officer prior to the day of the meeting.

4 **Local Government (Access to Information) Act - Exclusion of Press and Public**

RESOLVED that, under Section 100A(4) of the Local Government Act 1972, the press and public be excluded from the meeting during consideration of item 10 on the grounds that it involved the likely disclosure of exempt information as defined in paragraph 3 of Part 1, Schedule 12A of the Act.

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| 5 | External Audit Progress Report and Sector Update
To consider the report of the Council's External Auditor. | (Pages 3 -
14) |
| 6 | Grant Certification work - Housing Benefit Subsidy Claim & Qualification Letter
To consider the report of KPMG. | (Pages 15
- 20) |
| 7 | Internal Audit Progress Report
To consider the report of the Audit Managers. | (Pages 21
- 36) |
| 8 | Local Government Ombudsman's Annual Review of Complaints 2018-19
To consider the report of the Corporate Manager Policy, Communications and Community Engagement. | (Pages 37
- 44) |
| 9 | Review of Local Government Ethical Standards
To consider the report of the City Solicitor & Head of HR. | (Pages 45
- 48) |

Part II: Items suggested for discussion with the press and public excluded

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| 10 | Review of Corporate Governance Risk Register
To consider the report of the Corporate Manager Policy, Communications and Community Engagement. | (Pages 49
- 62) |
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Date of Next Meeting

The next **Audit and Governance Committee** will be held on Wednesday 13 March 2019 at 5.30 pm

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