

AUDIT AND GOVERNANCE COMMITTEE

Date: Wednesday 29 September 2021
Time: 5.30 pm
Venue: The Guildhall, Exeter

Members are invited to attend the above meeting to consider the items of business.

If you have an enquiry regarding any items on this agenda, please contact Sharon Sissons, Democratic Services Officer on 01392 265115.

Due to social distancing restrictions brought about by the Corona Virus outbreak, this meeting is only open to members.

For the general public the [live stream can be viewed here](#) at the meeting start time via Facebook.

Membership-

Councillors Wardle (Chair), Atkinson (Deputy Chair), Begley, Hannaford, Jobson, Mitchell, M, Martin, A, Moore, D, Pearce, Quance, Sparkes and Warwick

Agenda

Part I: Items suggested for discussion with the press and public present

1 **Apologies**

To receive apologies for absence from Committee Members.

2 **Minutes**

To approve and sign the minutes of the meeting held on 28 July 2021

(Pages 3 -
6)

3 **Declaration of Interests**

Councillors are reminded of the need to declare any discloseable pecuniary interests that relate to business on the agenda and which have not already been included in the register of interests, before any discussion takes place on the item. Unless the interest is sensitive, you must also disclose the nature of the interest. In accordance with the Council's Code of Conduct, you must then leave the room and must not participate in any further discussion on the item. Councillors requiring clarification should seek the advice of the Monitoring Officer prior to the day of the meeting.

4 **Local Government (Access to Information) Act - Exclusion of Press and Public**

It is considered that the Committee would be unlikely to exclude the press and public during the consideration of the items on this agenda, but if it should wish to do

so, then the following resolution should be passed:

"**RESOLVED** that, under Section 100A (4) of the Local Government Act 1972, the press and public be excluded from the meeting for the particular item(s) of business on the grounds that it (they) involve the likely disclosure of exempt information as defined in the relevant paragraph(s) of Part 1, of Schedule 12A of the Act."

5 External Audit Progress Report

To consider the report of the Council's External Auditor – Grant Thornton. (Pages 7 - 16)

6 Internal Audit Progress Report

To consider the report of the Audit Managers. (Pages 17 - 26)

7 Internal Audit Charter

To consider the report of the Audit Managers. (Pages 27 - 42)

8 Local Government Ombudsman's Annual Review of Complaints 2020-21

To consider the report of the Corporate Manager Executive Support. (Pages 43 - 64)

9 Review of Corporate Governance Risk Register

To consider the report of the Chief Executive & Growth Director. (Pages 65 - 78)

Date of Next Meeting

The next **Audit and Governance Committee** will be held on Wednesday 1 December 2021 at 5.30 pm

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