

AUDIT AND GOVERNANCE COMMITTEE

Date: Wednesday 8 March 2023
Time: 5.30 pm
Venue: Rennes Room, Civic Centre, Paris Street, Exeter

Members are invited to attend the above meeting to consider the items of business.

If you have an enquiry regarding any items on this agenda, please contact Sharon Sissons, Democratic Services Officer on 01392 265115.

Entry to the Civic Centre can be gained through the rear entrance, located at the rear of the Customer Services Centre, Paris Street.

Membership -

Councillors Wardle (Chair), Moore, D (Deputy Chair), Allcock, Branston, Ellis-Jones, Leadbetter, Mitchell, M, Packham, Rees, Snow, Sutton and Warwick

Agenda

Part I: Items suggested for discussion with the press and public present

1 **Apologies**

To receive apologies for absence from Committee Members.

2 **Minutes**

To approve and sign the minutes of the meeting held on 30 November 2022.

(Pages 5 -
12)

3 **Declaration of Interests**

Councillors are reminded of the need to declare any discloseable pecuniary interests that relate to business on the agenda and which have not already been included in the register of interests, before any discussion takes place on the item. Unless the interest is sensitive, you must also disclose the nature of the interest. In accordance with the Council's Code of Conduct, you must then leave the room and must not participate in any further discussion on the item. Councillors requiring clarification should seek the advice of the Monitoring Officer prior to the day of the meeting.

4 **Local Government (Access to Information) Act - Exclusion of Press and Public**

It is considered that the Committee would be unlikely to exclude the press and public during the consideration of the items on this agenda, but if it should wish to do so, then the following resolution should be passed:

"**RESOLVED** that, under Section 100A (4) of the Local Government Act 1972, the press and public be excluded from the meeting for the particular item(s) of business on the grounds that it (they) involve the likely disclosure of exempt information as defined in the relevant paragraph(s) of Part 1, of Schedule 12A of the Act."

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| 5 | External Audit Progress Report and Sector Update
To consider the report of the Council's External Auditors. | (Pages 13
- 28) |
| 6 | Internal Annual Audit Plan 2023/24
To consider the report of the Audit Managers. | (Pages 29
- 46) |
| 7 | Internal Audit Progress Report
To consider the report of the Audit Managers. | (Pages 47
- 58) |
| 8 | Code of Corporate Governance 2023/24
To consider the report of the Director Finance. | (Pages 59
- 66) |
| 9 | Members' Code of Conduct
To consider the report of the Director Corporate Services and Monitoring Officer. | (Pages 67
- 94) |
| 10 | General Dispensations to Members
To consider the report of the Director Corporate Services and Monitoring Officer. | (Pages 95
- 100) |
| 11 | Amendments to the Constitution - Officers' Code of Conduct
To consider the report of the Director Corporate Services and Monitoring Officer. | (Pages
101 - 116) |
| 12 | Freedom of Information and Data Protection
To consider the report of the Corporate Manager (Executive Support) | (Pages
117 - 128) |
| 13 | Anti-Money Laundering Policy
To consider the report of the Director Finance. | (Pages
129 - 140) |
| 14 | Review of Corporate Risk Register
To consider the report of the Deputy Chief Executive. | (Pages
141 - 154) |
| 15 | City Council Net Zero Risk Register
To consider the report of the Director Net Zero and City Management. | (Pages
155 - 160) |

Date of Next Meeting

The next **Audit and Governance Committee** will be held on Wednesday 26 July 2023 at 5.30 pm

Find out more about Exeter City Council by looking at our website <http://www.exeter.gov.uk> . This will give you the dates of all future Committee meetings and tell you how you can ask a question at a

Scrutiny Committee meeting. Alternatively, contact the Democratic Services Officer (Committees) on 01392 265115 for further information.

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