

EXETER CITY COUNCIL
SCRUTINY COMMITTEE - RESOURCES
25 NOVEMBER 2009

RISK MANAGEMENT

1. PURPOSE OF THE REPORT

- 1.1 To advise the Committee of the Council's risk management progress and seek approval for the updated corporate risk register

2. BACKGROUND

- 2.1 The Risk Management Policy makes this Committee responsible for:
- considering the corporate risk register (CRR) and recommending its approval to the Executive
 - monitoring the effectiveness of risk management throughout the Council and the progress made against the CRR target for actions dates.

3. RISK REGISTER

- 3.1 The Risk Management Group and relevant officers have reviewed the risks and, based upon actions implemented and actual experience, have reassessed the Impact and Likelihood scores. This has resulted in the following risk changes:

3. Social

- New Council Housing Building Programme – New – Medium risk due to the affects of possible project overspends or not delivering the project within Government timescales

7. Managerial/Professional

- Swine Flu – New – High risk due to the predicted Autumn increase in cases

8. Financial

- Concessionary Fares – Further representations have been made to the Transport Minister (other Councils in a similar position have done likewise). An indication was given that a proposal from Government may be forthcoming for 2010/11, subject to consultation, but unless and until this is confirmed, the risk of continuing financial loss remains high.

8. Financial

- Royal Albert Memorial Museum levels of environmental control – New – High risk as it is essential that the environmental controls meet the Government Indemnity Scheme (GIS) requirements

- **Housing and Planning Delivery Grant** – New – Medium risk of financial loss if the award is less than budgeted for, given that the method of allocation may change. The risk is mitigated as far as possible by responding to any draft changes, and working towards the performance targets which govern the allocation of grant. Further, the reliance of the Planning service on HPDG funding for staffing is being gradually reduced.

8. Financial

- Science Park – New – Medium risk of financial loss, mitigated by the regular and

transparent monitoring of project and development finances.

8. Financial

- Planning Appeals – New – Low risk of financial loss due to the revised processes for dealing with telecom applications being amended following the recent awards of costs against the Council.

10. Partnership/Contractual

- **Archaeological Field Unit** – New – High risk of financial loss if the new structure being devised on the instructions of Executive does not succeed in making the Unit break even. There are associated risks given the issues to be resolved regarding the future of archive material and the backlog in writing up investigations. These risks will be mitigated by implementation and monitoring of the new arrangements once agreed.

11. Competitive

- Major Client/Work – increased from Low to Medium risk as the loss of major clients would affect the relevant services.

4. RISK MANAGEMENT PROGRESS

- 4.1 Risk management reports have been made to the November meetings of the Community and the Economy Scrutiny Committees of the risks relating to their areas
- 4.2 The roll-out to the services of risk and business continuity management is continuing with work being undertaken with Car Parks. The Customer Services Centre and the IT Unit have been contacted and preparatory discussions have taken place.
- 4.3 As reported to the November 2008 meeting of this Committee, the compilation of the full Register has a timescale of nearly two months. The risk register was therefore circulated to all heads of service two weeks before the committee meeting and asked to check that their entries were correct in order to ensure that members have the latest information available to them.

5. RECOMMENDATIONS

That the Scrutiny Committee - Resources:

- 5.1 reviews the updated Corporate Risk Register
- 5.2 notes the risk management progress to date

HEAD OF AUDIT

CORPORATE SERVICES DIRECTORATE

Local Government (Access to Information) Act 1985 (as amended)

Background papers used in compiling the report:

None