

REPORT TO AUDIT AND GOVERNANCE COMMITTEE

Date of Meeting: 28 September 2022

Report of: Chief Executive & Growth Director

Title: Corporate Risk Register

Is this a Key Decision?

No

Is this an Executive or Council function?

Risk management is a Council function.

Risk Management is an important element of the council's Code of Corporate Governance. Regular monitoring of the council's corporate risks helps to ensure that the council's business is conducted in accordance with the law and proper standards, that public money is safeguarded and properly accounted for and used economically, efficiently and effectively.

1. What is the report about?

1.1 The report advises the committee of the council's risk management progress and presents the updated Corporate Risk Register (Appendix A).

2. Recommendations:

2.1 That the Committee reviews and notes the Corporate Risk Register.

3. Reasons for the recommendation:

3.1 To comply with the council's Risk Management Policy which states that this committee is responsible for monitoring and reviewing the Council's risks and reporting all new and updated risks to the Chief Executive and Growth Director.

4. What are the resource implications including non-financial resources.

4.1 Directors and Senior Managers, as appropriate, are asked to update the Corporate Risk Register on a quarterly basis. The register is reviewed regularly by the Chief Executive & Growth Director, the Strategic Management Board (SMB) and the Health and Safety Board. This process is currently facilitated by Internal Audit.

5. Section 151 Officer comments:

5.1 There is little to update Councillors on with respect to the risk register, owing to the relatively short turnaround between Committees at this point in the cycle. However, Members should note the increased risks identified and be aware of the action required to mitigate the risks.

6. What are the legal aspects?

6.1 None identified

7. Monitoring Officer's comments:

7.1 The contents of this report raise no issues for the Monitoring Officer, other than acting as a timely reminder for the Committee that risk management is an important element of the Council's code of corporate governance.

John Street (Deputy Monitoring Officer)

8. Report details:

8.1 The Corporate Risk Register (Appendix A) has been reviewed and updated by members of SMB as follows:

8.2 Risk 2 Failure to meet Exeter's Housing supply needs has been increased from a medium risk to a high risk.

Risk 6 Failure to deliver the Liveable Exeter Programme has been increased from a medium risk to a high risk.

8.3 An update on each risk can be found in the notes column of the Corporate Risk Register (Appendix A)

9. How does the decision contribute to the Council's Corporate Plan?

9.1 This decision helps to ensure the delivery of the council's purpose 'Well Run Council'.

10. What risks are there and how can they be reduced?

10.1 Any risks should be captured in either this document or the operational risk register.

11. Equality Act 2010 (The Act)

11.1 Under the Act's Public Sector Equalities Duty, decision makers are required to consider the need to:

- eliminate discrimination, harassment, victimisation and any other prohibited conduct;
- advance equality by encouraging participation, removing disadvantage, taking account of disabilities and meeting people's needs;
- and foster good relations between people by tackling prejudice and promoting understanding.

11.2 In order to comply with the general duty authorities must assess the impact on equality of decisions, policies and practices. These duties do not prevent the authority from reducing services where necessary, but they offer a way of developing proposals that consider the impacts on all members of the community.

11.3 In making decisions the authority must take into account the potential impact of that decision in relation to age, disability, race/ethnicity (includes Gypsies and Travellers), sex and gender, gender identity, religion and belief, sexual orientation, pregnant women and new and breastfeeding mothers, marriage and civil partnership status in coming to a decision.

11.4 In recommending this proposal no potential impact has been identified on people with protected characteristics as determined by the Act because:

11.4.1 The report does not set policy.

12. Carbon Footprint (Environmental Implications)

12.1 No direct carbon/environmental impacts arising from the recommendations.

13. Are there any other options?

13.1 Not applicable.

Chief Executive & Growth Director

Local Government (Access to Information) Act 1972 (as amended)

Background papers used in compiling this report:-

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