

**EXETER CITY COUNCIL
AUDIT AND GOVERNANCE COMMITTEE**

PROGRESS OF 2023-24 AUDIT PLAN TO DATE

Please note that only the executive summary of each report is attached as an appendix to this report, as to include all actions agreed from each audit report in detail would result in a lengthy document. Members may request a full copy of any report once finalised or alternatively meet with the Audit Manager to discuss specific audits further.

Audit Review	Report Status	Overall Opinion	No. of Findings	Executive Summary of final report issued during this quarter
Health and Safety	In progress			
Corporate Governance	Q4			
Risk Management	In progress			
Information Governance	Deferred			Red Quadrant commissioned to carry out review of Council information Governance – duplication of work.
Counter Fraud	In progress			
Partnerships	In progress			
Contract Management	Q4			
Community Grants Awarded	Final	Satisfactory	5 Medium 7 Low	Reported September 2023
External Funding / Grants Received	In progress			
Cyber Security	In progress			
Main Accounting	In progress			
Income Management	Draft report			
Creditors				
<ul style="list-style-type: none"> • Creditors – Corporate credit card 	Final	Limited Assurance	5 Medium	Reported December 2023

Audit Review	Report Status	Overall Opinion	No. of Findings	Executive Summary of final report issued during this quarter
<ul style="list-style-type: none"> Amendments to supplier bank details 	In progress			
Treasury Management	Final	Substantial	2 Low	Reported December 2023
VAT	In progress			
Payments and collection	Final	Satisfactory	3 Medium 2 Low	Reported December 2023
Sundry Debtors	In progress			
NDR	Final	Substantial	2 Medium 2 Low	Reported December 2023
People Management (including Payroll)	Final	Substantial Satisfactory	14 Medium 3 Low	Please see Appendix B
Licensing	In progress			
Fleet Management	In progress			
Car Parks – Stray dogs	Final	Satisfactory	3 Medium 6 Low	Reported September 2023
Net Zero/Carbon Reduction	Final	Satisfactory	4 Medium 10 Low	Please see Appendix C
Housing – customers, assets, needs				
<ul style="list-style-type: none"> Housing – Laundry cash at Edwards Court 	Final	Substantial	2 Low	Reported September 2023
Planning	Final	Satisfactory	5 Medium 8 Low	Please see Appendix D
Leisure Facilities - Spa	Final	Satisfactory	6 Medium 1 Low	Reported December 2023
Leisure Facilities – Food & Beverages	Final	Satisfactory	4 Medium 1 Low	Please see Appendix E
Visit Exeter (part 1)	Final	Substantial	2 Low	Appendix F
Visit Exeter (part 2) Post implementation	Q4			
Disabled Facilities Grant	Final	Substantial	1 Low	Reported December 2023
Business Continuity Management – 2022-23	Final	Satisfactory	10 Medium	Reported December 2023

